

# R.S. PADIA & ASSOCIATES

## COMPANY SECRETARIES

### ANNUAL SECRETARIAL COMPLIANCE REPORT OF CENTRAL BANK OF INDIA FOR THE YEAR ENDED 31<sup>ST</sup> MARCH 2021

[Pursuant to SEBI Circular No. CIR/CFD/CMD1/27/2019 Dated 08.02.2019 as per Regulation 24A of SEBI (Listing Obligation and Disclosure Requirement, 2015)]

To,  
**The Members of Central Bank of India,**

1. We have examined:

- by
- (a) All the documents and records made available to us and explanation provided by Central Bank of India (“the listed entity”), arising from the compliances of Specific Regulations listed under para 2 infra
  - (b) the filings/ submissions made by the listed entity to the stock exchanges in connection with the above
  - (c) website of the listed entity,
  - (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March 2021 (“Review Period”) in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
  - (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);
2. The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-
- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
  - (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
  - (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

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- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;(Not applicable during the year under review)
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (Not applicable during the year under review)
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not applicable during the year under review)
- (g) Securities and Exchange Board of India(Issue and Listing of Non - Convertible and Redeemable Preference Shares) Regulations,2013; (Not applicable during the year under review)
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

Based on my examination and verification of documents and records produced to me and according to the information and explanation given by the Bank , we report that:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of the regulations mentioned below.

<b>Sr. No</b>	<b>Compliance Requirement(Regulations/Circulars/Guidelines including specific clause)</b>	<b>Deviations</b>	<b>Observations and Remarks of the Practicing Company Secretary</b>
<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

<b>Sr No.</b>	<b>Action Taken by</b>	<b>Details of violations</b>	<b>Details of action taken E.g. fines, warning letter, debarment, etc.</b>
	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

(d) The Company was not required to take any action with regard to compliance with the observations made in previous reports.

Date: 27.05.2021  
Place: Mumbai  
UDIN: F006804C000380870

For R.S. Padia & Associates  
Company Secretaries

Rajshree Padia  
FCS: 6804; CP: 7488

*Note: In order to prevent and contain the spread of COVID 19, The Government of Maharashtra imposed lockdown like restrictions as a result of which it is not allowed to visit any Government Department/Organization.*

*Accordingly, Secretarial audit has been conducted based on the documents, records and information along with explanations provided through electronic mode.*